



CAPTAIN POLYPLAST LTD.

REGD.OFFICE : UL-25, Royal Complex, Bhutkhana Chowk, Dhebar Road, Rajkot-360 002 (Guj.) India. Tele : +91-9909035390, +91-9909035391
H.O. & WORKS : Survey No. 267, Plot No. 10A, 10B & 11, N.H. No. 27, SHAPAR (Veraval), Dist. Rajkot-360 024 (Gujarat) India. Telefax : +91-2827-253006, 252056
web : www.captainpolyplast.com | e-mail : info@captainpolyplast.in
CIN NO. : L25209GJ1997PLC031985

Date: 30.05.2022

To,

Department of Corporate Services

BSE Limited,
Phiroze Jeejeebhoy Towers
Dalal Street
Mumbai-400 001

Ref: Captain Polyplast Limited (Scrip Code: 536974/Scrip ID:CPL)

Sub: Annual Secretarial Compliance Report for the year ended 31st March, 2022



Pursuant to Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with Circular No. CIR/CFD/CMD/1/27/2019 dated 8th February, 2019, please find enclosed Annual Secretarial Compliance Report issued by **Mr. Kishor S Dudhatra**, Practising Company Secretary for the year ended 31st March, 2022.

We request you to take the above on record and acknowledge.

Thanking you,

Yours faithfully

FOR CAPTAIN POLYPLAST LTD.



RAMESH D. KHICHADIA
MANAGING DIRECTOR
(DIN:00087859)

Encl: Annual Secretarial Compliance Report

AN ISO 9001:2015 COMPANY

MFG.: DRIP, SPRINKLER & MINI SPRINKLER IRRIGATION SYSTEM



**ANNUAL SECRETARIAL COMPLIANCE REPORT OF CAPTAIN POLYPLAST LIMITED FOR THE YEAR
ENDED 31ST MARCH, 2022
(PURSUANT TO SEBI-CIR/CFD/CMD/1/27/2019 DATED 8TH FEBRUARY, 2019)**

To,
The Board of Directors ,
CAPTAIN POLYPLAST LTD
CIN: L25209GJ1997PLC031985
RAJKOT, GUJ, INDIA

Date: 30.05.2022

I Mr. Kishor S Dudhatra, Practising Company Secretary, have examined:

- (a) All the documents and records made available to us and explanation provided by Captain Polyplast limited ("listed entity"),
- (b) The filings/submissions made by the listed entity to the stock exchanges,
- (c) Website of the listed entity,
- (d) Any other document/filing, as may be relevant, which has been relied upon to make this clarification,

For the year ended 31st March, 2022 ("review period") in respect of compliance with the Provisions of:

- (a) The Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, Circulars, Guidelines issued there under; and
- (b) The Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made there under and the Regulations, Circulars, Guidelines issued there under the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/guidelines issued there under, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; ;(not applicable during the period under review)
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulation, 2018; (not applicable during the period under review)
- (e) Securities and Exchange Board of India (Share Based Employee Benefits and sweat equity) Regulation, 2021; (not applicable during the period under review)
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008 and Securities and Exchange Board of India (Issue and Listing of Non-Convertible Securities) Regulations, 2021;(not applicable during the period under review)
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; ;(not applicable during the period under review)
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (i) Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993; - (Not applicable as the Company is not registered as Registrar to an Issue and Share Transfer Agent during the financial year under review)
- (j) Securities and Exchange Board of India (Delisting of Equity Shares) Regulations, 2021; - (Not applicable as the Company has not delisted its equity shares from any stock exchange during the financial year under review)





And based on the above examination, I hereby report that, during the Review Period

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/guidelines issued there under, except in respect of matters specified below:-

Sr. No	Compliance Requirement (Regulations/ Circulars/ Guidelines including specific clause)	Deviations	Observations/ Remarks of the Practising Company Secretary
1.	<i>Certificate under SCHEDULE V PARA E SEBI LODR 2015 was not availed and attached to annual report of F.Y. 2020-21</i>	-	<i>Board has availed such certificate afterwards.</i>

- (b) The Listed entity has maintained proper records under the provisions of the above Regulations and Circulars/ Guidelines issued there under in so far as it appears from my examination of those records.
- (c) The following are the details of actions taken against the listed entity/ its promoters/ director/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and Circulars/ Guidelines issued there under:

Sr. No	Action taken by	Details of violation	Details of action taken e.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practising Company Secretary, if any
N.A.				

- (d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No	Observations of the Practising Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended-	Action taken by the listed entity, if any	Comments of the Practising Company Secretary on the actions taken by the listed entity
N.A.				



PLACE: AHMEDABAD
DATE: 30.05.2022

KISHOR DUDHATRA
PRACTISING COMPANY SECRETARY
FCS NO.: 7236
CP NO.: 3959
UDIN.: F007236D000431143
PEER REVIEW NO: 1919/2022